



Mr. Ramesh
16/9/13

UNIVERSITY GRANTS COMMISSION
BAHADUR SHAH ZAFAR MARG
NEW DELHI – 110 002

No. F. 510/8/DSA/2013(SAP-I)

Re 13-9
DR/Am.A

6 SEP 2013 1st, 2013

The Registrar
University of Delhi
Delhi – 110 007

S.O. (H/S) (all)

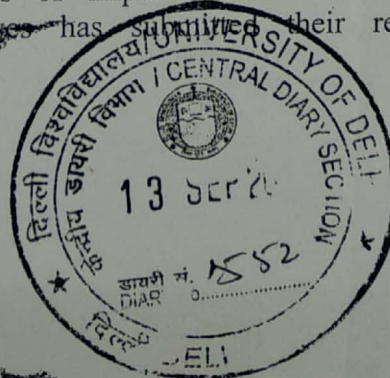
Sub: University Grants Commission Assistance to selected departments under Special Assistance Programme (SAP) – **Review of the Programme in the Department of Mathematics, University of Delhi, Delhi for upgradation from DRS-III to DSA-I for a period of 5 years (1.4.2013 to 31.3.2018).**

Sir,

1. The UGC Special Assistance Programme (SAP) is intended through constant effort to raise the quality of teaching/ research in different disciplines in Humanities, Social Science, Engineering & Technology science departments and carefully selected on the basis of their work, academic achievements and viable potential for further development. The essence and primary aim of the scheme is combination of teaching and research to encourage group research efforts in pursuit of excellence.
2. **The Department of Mathematics, University of Delhi, Delhi** was implementing the phase –III (2007-2012) of the programme at the level of **DRS-III** approved for a duration of five years.
3. As per guidelines, the Commission constituted an Expert Committee to review the progress made by the above department which has completed its approved term of participation under the Special Assistance Programme (SAP). The Expert Committee Reviewed the department on **17/1/2013 at UGC office, New Delhi.**
4. The Review Committee, after a very careful and critical in-depth examination of the academic achievements made by the department during the terms as well as discussing various aspects of implementation of the programme with the departmental representatives has submitted their recommendations to the Commission.

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5. The UGC has approved the Department for upgradation from DRS-III to DSA-I programme for a further period of Five years. The financial year 2012-2013 may be treated as gap year.

6. On the basis of the recommendations of the Review Committee, I am directed to convey the approval of the University Grants Commission to the **continuation** of the programme at the level of **DRS-III to DSA-I** for a duration of **five Years** with the following thrust area(s) for research and teaching.

Thrust Area(s) Identified:

1. Functional Analysis, Optimization, Pure and Applied Algebra.

As recommended by the Review Committee, the Co-ordinator of the programme for the present phase of the programme will be as indicated below:

Dr. Ajay Kumar, (Coordinator)

Dr. C.S Lalitha (Deputy Coordinator)

The Co-ordinator may continue till the end of the present duration of the programme as per revised guidelines.

7. The financial assistance approved for implementing the present phase at the level of **DSA-I** for a duration of **Five years (01/04/2013 to 31/03/2018)** are given below:

	(Rs. In lakh)
Non – Recurring	Rs 26.00
Recurring	Rs. 49.00

Total NR + R =	Rs. 75.00

Details of the item-wise grant approved above is given in the Annexure-I

8. It may be noted that if the University is unable to implement the approved scheme within six months from the date of issue of this letter, the approval shall be considered as having lapsed. Similarly, the NR grant is to be utilized within a period of 3 years, failing which the University/Institute will have to refund the unutilized amount of grant alongwith an interest @10% per annum, as amended from time to time, as per provision of General Finance Rules of Govt. of India.

The Commission will also provide additional grant (Subject to availability of funds) for the following purposes:-

i) Maintenance, modernisation, upgradation, accessories spare etc. for equipments procured under the programme @ 5% of total equipment cost per annum from the date of expiry of warranty period till the end of the term. Thereafter, it has to be met by the University/Institute.

10. Expenditure incurred or any amount deducted from the sanctioned amount by the university for any other purposes other than the items approved for implementing the programme will not be acceptable to the Commission.
11. To avoid inbreeding in SAP supported departments regarding recruitment of teachers and intake of students, the Commission has decided that the appointment on the faculty position in the departments financially assisted under Special Assistance Programme of the University Grants Commission be made from among the applications who have obtained their last academic qualification (M.A./M.Sc./M.Phil/Ph.D) from the university other than the one for which the appointment is being made. It has also been decided that the preference be given to the students from other states on at least 20% of the prescribed number of seats for admission in Graduate and Post Graduate courses in the departments under Special Assistance Programme (SAP).
12. The Additional financial inputs for Summer institute, Attachment of students, International Collaboration etc. may be extended by the Commission on receipt of specific proposal from the University / Department and subject to availability of funds under the programme.
13. As stipulated in the revised guidelines for SAP Programme all sanctions under Special Assistance Programme (SAP) henceforth are subject to the conditions that such departments under this programme would have to be given autonomy by the university/institute for academic, financial and administrative matters relating to the Special Assistance Programme (SAP).
14. It is desired that the departments having SAP should immediately introduce the examination reform measures and funding for SAP and COSIST would be linked with the implementation of the minimum programme of examination reforms in these departments.
15. It may also be ensured that the physical facilities created under the Special Assistance Programme (SAP)/COSIST may be opened, to be used by the other faculty members of the departments and other users within the university and from other universities/agencies.

16. The University is to maintain a separate bank account for the grants released under Special Assistance Programme. All interest earned by the university/department by investment of funds sanctioned and paid by the UGC under Special Assistance Programme will be treated as additional grant and may be spent only after prior approval of the UGC.
17. Other general terms and conditions of the above grant are in the SAP guidelines on the UGC website.
18. The university/institute may follow the norms for appointment of Programme Co-ordinator and Dy. Co-ordinator (no Joint Co-ordinator or Co-Co-ordinator) and also constitute an Advisory Committee as per the guidelines of the Commission and follow the terms of reference of the Advisory Committee to ensure effective implementation and monitoring of the Programme. The constitution of the Advisory Committee is compulsory for all departments which are being supported under SAP. The UGC nominees in the Committee will be as indicated below. The department may contact the UGC nominees for their acceptance and intimate to the Commission.

1. **Prof. Rajeev Karandekar,**
Department of Mathematics
Chennai Mathematical Institute,
Chennai
2. **Prof. A. Ojha, Director,**
Indian Institute of Information Technology,
Jabalpur,

The active participation of UGC nominees in each meeting of the Advisory Committee is essential. The composition and terms & reference of the Advisory Committee are available in the UGC website www.UGC.ac.in.

19. For optimizing the effective and usefulness of the programme the Commission will send an expert committee or organize group monitoring/ review after two years of support given to the department for mid-term evaluation of the progress of work done by the department. The department will send accordingly a consolidated progress report in the prescribed form.
20. The university/institute/department is requested to take immediate steps to submit the following information/documents for necessary action:
 - i) Acceptance of the terms and conditions of the grants duly signed by the Registrar of the University/Institute.

- ii) Name of the competent University Officer with full address in favour of the Demand Draft is to be sent by the UGC.
- iii) Bank and Account number with address.
- iv) Detailed statement of year-wise actual expenditure incurred against the grants allocated, sanctioned during the last phase may be submitted in the PROFORMA in the Annexure -V, of SAP Guidelines duly audited and certified by the Competent authority, in order to finalize the accounts of the earlier phase.
- v) Name of the Department Co-ordinator and Dy. Co-ordinator indicating (i) present designation (ii) specialized areas(s) of research and (iii) date of superannuation.
- vi) List of members of the Advisory Committee constituted by the university/ institute as per guidelines.
- vii) Year-wise academic programme and action proposed to be undertaken by the department during the period of 5 years to implement the programme.
- viii) An undertaking from the University/institute to take over the recurring liabilities of the items including staff approved under the programme other than research fellow i.e JRFs/RAs/PFs after a period of 5 years of the programme.
- ix) Action taken on the academic recommendations made by the Review/Visiting Committee may be intimated in due course.
- x) The annual report of the work done during the year (as per effective date of the programme) should be submitted by the Programme Co-ordinator highlighting the academic achievements in research and teaching and indicating separately the progress in procuring of equipment/construction of building (only addition, alteration and renovation, if sanctioned under the programme) and the list of papers published in referred journal during the year positively reported by the end of every year.
- xi) The steps taken by the university/ institute to implement the decision of the Commission as indicated in Para-ix and x above.

21. The university/institute shall take all possible measures to ensure effective implementation of policies of Government of India relating to SC/ST students and teachers in regard to the UGC programme. In case of non-teaching staff, the policies of the Central Government in respect of Central Universities and of the State Government in respect of State Universities shall be implemented.
22. The first installment of admissible grant is being sanctioned separately. In the meantime, the university may submit the following information requested for at

para 18 (i, ii, iii, iv, v & vi) by return of post. The programme will be effective from 01/04/2013 to 31/03/2018.

23. No request for any change in the effective date will be considered.
24. It may be noted that if orders for purchase of equipment are not placed within six months from the date of receipt of the grant by the university, the approval shall be treated as withdrawn.
25. The second and subsequent installment of grant for any approved items will be considered and sanctioned only on receipt of the Utilization Certificate of the earlier installment in the prescribed form duly signed by the Registrar/Finance Officer as the case may be.
26. **The University/Institution shall include a specific condition in the Utilization Certificate, in respect of any financial assistance or grants-in-aid to any institution under any of the general or special schemes of the Commission that the University/institution has compiled with the anti-ragging measures.**

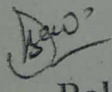
Yours faithfully,

(K.P. Singh)
Joint Secretary

NOTE:- Please see SAP guidelines on UGC website : www.ugc.ac.in

Copy for information to:

1. **Prof. Ajay Kumar , Co-ordinator, DSA-I Programme, Department of Mathematics, University of Delhi, -1100 007.**
2. **The P.S. to Vice Chancellor University of Delhi , Delhi-110 007**
3. **The Secretary to the State Government of N.C.T. of Delhi, Department of Education, Delhi.**
4. **Guard File.**


(Uma Bali)
Under Secretary

Annexure - I

UNIVERSITY GRANTS COMMISSION

FINANCIAL ASSISTANCE APPROVED FOR IMPLEMENTATION OF THE SPECIAL ASSISTANCE PROGRAMME (SAP) IN THE DEPARTMENT OF MATHEMATICS, UNIVERSITY of Delhi
DSA PHASE-I FOR A PERIOD OF FIVE YEARS FROM (01/04/2013 to 31/03/2018).

A. Non-Recurring


1.	Computer , Hardware & Software	20,00,000/-
2.	Building (upgradation/augmentation extension Of existing laboratory for housing and Installation of new equipment)(maximum Limit upto 20 lakhs) including air-conditioning	6,00,000/-
	Total	26,00,000/-

Recurring

Five Years

1.	Contingency/ working expenses @ Rs. 3,00,000/- p.a.	15,00,000/-
2.	Travel/ field facility for faculty members and Research Scholars (all within India) @ Rs. 1,50,000/-p.a.	7,50,000 /-
3.	Seminars for Organization on thrust area @ Rs 4,00,000/-p.a.(Two)	8,00,000/-
4.	Visiting Fellows @Rs. 1,60,000/-p.a	8,00,000/-
5.	Advisory Committee meeting (TA/DA for UGC nominees in the Committee) @ Rs. 60,000/-p.a.	3,00,000/-
6.	Books & Journals @ Rs.1,00,000/- p.a.	5,00,000/-
	Hiring the services of Technical/Industrial/Secretarial assistance as relevant to the Programme (for programme duration only) @ Rs.50,000/-p.a	2,50,000/-
	Total	49,00,000/-

Total NR+R.= Rs. 26,00,000/-+49,00,000/=75,00,000/- (Rupees Seventy Five lakh Only)


(Uma Bali)
Under Secretary